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1003 U.S. PTO

03-28-01 A

# UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.  
55218-0504

## TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, Ashar Aziz, entitled SYMBOLIC DEFINITION OF A COMPUTER SYSTEM for a(n):

- ( ) Original Patent Application.
- (X) Continuing Application (prior application not abandoned):  
( ) Continuation ( ) Divisional (X) Continuation-in-part (CIP)  
of prior application No: 09/502,170 Filed on: February 11, 2000  
(X) A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

- (X) Specification; 88 Total Pages. (X) Drawing(s); 15 Total Sheets.  
(X) Oath or Declaration:  
(X) A Newly Executed Combined Declaration and Power of Attorney:  
( ) Signed. (X) Unsigned. ( ) Partially Signed.  
( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).  
( ) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.  
( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).  
( ) Power of Attorney. (X) Return Receipt Postcard.  
( ) Associate Power of Attorney. (X) A Check in the amount of \$575.00 for the Filing Fee.  
( ) Preliminary Amendment. ( ) Information Disclosure Statement and Form PTO-1449.  
(X) Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)  
(X) A Duplicate Copy of this Form for Processing Any Additional Fees Against Deposit Account.  
( ) A Certified Copy of Priority Documents (if foreign priority is claimed).  
(X) Applicant(s) is entitled to small entity status. See 37 CFR 1.27.  
(X) Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.  
( ) Other: \_\_\_\_\_

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	40	20	\$ 9.00	\$ 180.00
Independent Claims	4	1	\$40.00	\$ 40.00
Multiple Dependent Claims (if applicable)				\$ 0.00
Assignment Recording Fee				\$ 0.00
Basic Filing Fee				\$ 355.00
			Total Filing Fee	\$ 575.00

Charge \$ \_\_\_\_\_ to Deposit Account \_\_\_\_\_ pursuant to 37 CFR § 1.25. At any time during the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to above deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: Christopher J. Palermo  
Christopher J. Palermo, Attorney of Record,  
Reg. No. 42,056

Date: March 26, 2001

Correspondence Address:

Hickman Palermo Truong & Becker  
600 Willow Street,  
San Jose, California 95125-5106  
Phone: (408) 424-1080  
Fax: (408) 414-1076

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

By: Tirena Say  
Typed Name: Tirena Say

Express Mail Label No.: EL734778734US

Date of Deposit: March 26, 2001

09/18/01 18424  
US PTO  
03/26/01

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT (\$ 575.00)

**Complete if Known**

Application Number	NYA
Filing Date	March 26, 2001
First Named Inventor	Ashar AZIZ
Examiner Name	NYA
Group/Art Unit	NYA
Attorney Docket No.	55218-0504

**METHOD OF PAYMENT** (check one)

1.  Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Deposit Account Number **50-1302**Deposit Account Name **Hickman Palermo Truong & Becker, LLP**

2.  Payment Enclosed:

 Check     Money Order     Other

3.  Applicant(s) is entitled to small entity status.

See 37 CFR 1.27

**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Small Entity Fee Code	Fee \$	Fee Description	Fee Paid
105	130	205	65	Surcharge – late filing fee or oath
127	50	227	25	Surcharge – late provisional filing fee or cover sheet.
139	130	139	130	Non-English specification
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	390	216	195	Extension for reply within second month
117	890	217	445	Extension for reply within third month
118	1,390	218	695	Extension for reply within fourth month
128	1,890	228	945	Extension for reply within fifth month
119	310	219	155	Notice of Appeal
120	310	220	155	Filing a brief in support of an appeal
121	270	221	135	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive – unavoidable
141	1,210	241	605	Petition to revive – unintentional
142	1,210	242	605	Utility issue fee (or reissue)
143	430	243	215	Design issue fee
144	580	244	290	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	240	126	240	Submission of information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))
149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))
Other fee (specify) _____				
Other fee (specify) _____				
SUBTOTAL (1)	(\$ 355.00)			
SUBTOTAL (2)	(\$ 220.00)			
*Reduced by Basic Filing Fee Paid			SUBTOTAL (3)	(\$)

**SUBMITTED BY**

Complete if applicable

Name (Print/Type)	Christopher J. Palermo	Registration No. (Attorney/Agent)	42,056	Telephone	(408) 414-1080
Signature				Date	March 26, 2001

**WARNING:**

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Ashar AZIZ
Title	SYMBOLIC DEFINITION OF A COMPUTER SYSTEM
Atty. Docket Number	55218-0504

U.S. PTO  
09/18 18424  
03/26/01



I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

3-26-2001

Date



CHRISTOPHER J. PALERMO  
Attorney of Record, Reg. No. 42,056

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**